

# KOSZUL FUN

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## 1. $p$ -LOCAL PONTRYAGIN DUALITY

### 1.1. Setup.

**1.1.1.** Setup:  $R$  a Noetherian regular local ring,  $\mathfrak{m}$  maximal ideal,  $k = R/\mathfrak{m}$ . Choose  $f_1, \dots, f_r \in \mathfrak{m}$  a minimal set of generators (i.e., their images are a  $k$ -basis for  $\mathfrak{m}/\mathfrak{m}^2$ ).

### 1.1.2. Set

$$\mathcal{E} = \mathrm{RHom}_R(k, k)$$

Then,  $\mathcal{E}$  is an associative (dg)  $R$ -algebra, and  $k$  is a left  $\mathcal{E}$ -module.

### 1.1.3. Set

$$k^\vee = \mathrm{RHom}_R(k, R)$$

It is a right  $\mathcal{E}$ -module, and our goal will be to compute the  $R$ -module

$$k^\vee \overset{L}{\otimes}_{\mathcal{E}} k.$$

### 1.1.4. Recall the Koszul complex for $k = R/\mathfrak{m}$ over $R$ :

$$K = R[\epsilon_1, \dots, \epsilon_r] \quad |\epsilon_i| = 1, d\epsilon_i = f_i$$

Or more verbosely (but not describing the differential)

$$K_\bullet = \left[ \underbrace{R \cdot \epsilon_1 \wedge \dots \wedge \epsilon_r}_{\text{deg. } r} \longrightarrow \dots \longrightarrow \bigoplus_{1 \leq i < j \leq r} R \cdot \epsilon_i \wedge \epsilon_j \longrightarrow \bigoplus_{i=1}^r R \cdot \epsilon_i \longrightarrow \underbrace{R \cdot 1}_{\text{deg. } 0} \right]$$

The map  $K \rightarrow K/(f_1, \dots, f_r) = k$ ,  $1 \mapsto 1$ , is a quasi-isomorphism. (Pf: Induction on “ $d$ ”, regular sequence, ...)

**1.1.5.** The “Hodge star” makes the Koszul complex  $K$  self-dual up to a degree shift: That is,  $*u$  is characterized by  $u \wedge *u = \epsilon_1 \wedge \dots \wedge \epsilon_r$ . It consists of free  $R$ -modules so that

$$\begin{aligned} \mathrm{RHom}_R(K, R) &= \mathrm{Hom}_R(K, R) = \left[ \underbrace{R \cdot 1^\vee}_{\text{deg. } 0} \longrightarrow \dots \longrightarrow \underbrace{R \cdot (\epsilon_1 \wedge \dots \wedge \epsilon_r)^\vee}_{\text{deg. } -r} \right] \\ &\simeq \left[ \underbrace{R \cdot *1}_{\text{deg. } 0} \longrightarrow \dots \longrightarrow \underbrace{R \cdot *(\epsilon_1 \wedge \dots \wedge \epsilon_r)}_{\text{deg. } -r} \right] = K[r]. \end{aligned}$$

Consequently  $k^\vee = k[r]$ .

**1.1.6.** In particular,  $k[r]$  (and so  $k$ ) inherits a right  $\mathcal{E}$ -module structure from that of  $k^\vee$ . (Note that this, at least a priori, depends on the choice of  $f_1, \dots, f_r$ .) This lets us re-phrase our goal as computing

$$k \overset{L}{\otimes}_{\mathcal{E}} k \left( \simeq (k^\vee \overset{L}{\otimes}_{\mathcal{E}} k)[-r] \right)$$

**Example 1.1.7.** Set  $R = \mathbb{Z}_{(p)}$ ,  $\mathfrak{m} = p\mathbb{Z}_{(p)}$ ,  $k = \mathbb{F}_p$ ;  $r = 1$ , and we can take  $f_1 = p$ . With the above identifications, we will show that  $k \overset{L}{\otimes}_{\mathcal{E}} k \simeq \mathbb{Q}_{(p)}/\mathbb{Z}_{(p)}$ . The natural “evaluation” map

$$k \overset{L}{\otimes}_{\mathcal{E}} k \simeq (k^\vee \overset{L}{\otimes}_{\mathcal{E}} k)[-1] = (\mathrm{RHom}_R(k, R) \overset{L}{\otimes}_{\mathcal{E}} R)[-1] \xrightarrow{\mathrm{ev}} R[-1]$$

will correspond to the “boundary map”  $\mathbb{Q}_{(p)}/\mathbb{Z}_{(p)} \rightarrow \mathbb{Z}_{(p)}[-1]$  associated to the exact triangle

$$\mathbb{Z}_{(p)} \rightarrow \mathbb{Q}_{(p)} \rightarrow \mathbb{Q}_{(p)}/\mathbb{Z}_{(p)}$$

## 1.2. Explicit Computation for $R = \mathbb{Z}_{(p)}$ .

**1.2.1.** It might be illustrative to do the computation of the above example explicitly. We’ll give some elements names:

$$K = \left[ R \cdot a \xrightarrow{p} R \cdot 1 \right]$$

(and using the magical sign rule)

$$K^\vee = \left[ R \cdot 1^\vee \xrightarrow{-p} R \cdot a^\vee \right]$$

As  $R$ -complex,

$$\mathcal{E} = K \otimes_R K^\vee$$

is spanned by  $a^\vee \otimes 1$  in degree  $-1$ ,  $a^\vee \otimes a$  and  $1^\vee \otimes 1$  in degree  $0$ , and  $1^\vee \otimes a$  in degree  $1$ . (With differentials determined by the tensor product rules). The dg algebra structure is given simply by “evaluation”:  $(v \otimes \lambda) \cdot (v' \otimes \lambda') = \lambda(v')(v \otimes \lambda')$ . (Good thing  $R$  has no differential!)

**1.2.2.** I think I even got the signs right to, e.g., make  $\mathcal{E}$  a dga. For instance,

$$d(1) = d(1 \otimes 1^\vee + a \otimes a^\vee) = -p(1 \otimes a^\vee) + p(1 \otimes a^\vee) = 0$$

Even trickier, set  $\alpha = v \otimes \lambda$  and  $\beta = v' \otimes \lambda'$ . Then,

$$d(\alpha \cdot \beta) = \lambda(v')d(v \otimes \lambda') = \lambda(v') \left( dv \otimes \lambda' + (-1)^{|v|}v \otimes d\lambda' \right).$$

$$d(\alpha) \cdot \beta = \underbrace{\lambda(v')(dv \otimes \lambda')}_{\text{if } |v'| = -|\lambda|} + (-1)^{|v|} \underbrace{(d\lambda)(v')(v \otimes \lambda')}_{\text{if } |v'| = 1 - |\lambda|}$$

$$\alpha \cdot d(\beta) = \underbrace{\lambda(dv')(v \otimes \lambda')}_{\text{if } |v'| = 1 - |\lambda|} + (-1)^{|v'|} \underbrace{\lambda(v')(v \otimes d\lambda')}_{\text{if } |v'| = -|\lambda|}$$

So,

$$\begin{aligned} d(\alpha \cdot \beta) &= d(\alpha) \cdot \beta + (-1)^{|\alpha|} \alpha \cdot d(\beta) \\ &= d(\alpha) \cdot \beta + (-1)^{|v|+|\lambda|} \alpha \cdot d(\beta) \\ &= \lambda(v') \left( dv \otimes \lambda' + (-1)^{|v|+|\lambda|+|v'|} (v \otimes d\lambda') \right) \\ &\quad + (-1)^{|v|} (v \otimes \lambda') \left( (d\lambda)(v') + (-1)^{|\lambda|} \lambda(dv') \right) \end{aligned}$$

Since  $\lambda(v') = 0$  unless  $|\lambda| + |v'| = 0$ , the first term is precisely  $d(\alpha \cdot \beta)$ . Meanwhile, since  $R$  is in a single degree

$$0 = d(\lambda(v')) = (d\lambda)(v') + (-1)^{|\lambda|} \lambda(dv').$$

(This was the reason for the “magical sign rule,” forcing  $d(1^\vee)(a) = -1^\vee(da) = -p$ .)

**1.2.3.** We can work with the algebra structure on  $\mathcal{E}$ , and the  $\mathcal{E}$ -module structure on  $K$ , via “matrices.” Namely, the following identifications intertwine the products and module structures

$$\begin{pmatrix} x & y \\ z & w \end{pmatrix} \longrightarrow x(a \otimes a^\vee) + y(a \otimes 1^\vee) + z(1 \otimes a^\vee) + w(1 \otimes 1^\vee)$$

and

$$\begin{pmatrix} r \\ s \end{pmatrix} \longrightarrow r \cdot a + s \cdot 1$$

**1.2.4.** Now, let's resolve  $K$  as left  $\mathcal{E}$ -module: There's a surjection  $\mathcal{E} \rightarrow K$ ,  $\alpha \mapsto \alpha \cdot 1$ . In matrices this is

$$\begin{pmatrix} x & y \\ z & w \end{pmatrix} \longrightarrow \begin{pmatrix} x & y \\ z & w \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} y \\ w \end{pmatrix}$$

so the kernel of the surjection consists of matrices whose second column is zero (i.e., no  $a \otimes 1^\vee$  or  $1 \otimes 1^\vee$  component).

Let  $t = 1 \otimes a^\vee$ , and consider right multiplication  $\cdot t: \mathcal{E}[1] \rightarrow \mathcal{E}$ . Then,  $\text{im}(\cdot t) = \ker(\cdot t)$  is also the matrices with second column zero. In other words,

$$\text{Tot} \left[ \cdots \xrightarrow{\cdot t} \mathcal{E}[3] \xrightarrow{\cdot t} \mathcal{E}[2] \xrightarrow{\cdot t} \mathcal{E}[1] \xrightarrow{\cdot t} \mathcal{E} \right] \rightarrow K$$

is a free resolution of  $K$ .

**1.2.5.** We use the previous resolution to compute  $K^\vee \overset{L}{\otimes}_{\mathcal{E}} K$ :

$$K^\vee \overset{L}{\otimes}_{\mathcal{E}} K = \text{Tot} \left[ \cdots \xrightarrow{\cdot t} K^\vee \xrightarrow{\cdot t} K^\vee \xrightarrow{\cdot t} K^\vee \right].$$

The right action of  $t = 1 \otimes a^\vee$  on  $K^\vee$  is easy to compute:  $(1^\vee) \cdot t = a^\vee$  while  $(a^\vee) \cdot t = 0$ . The double complex we're totalizing looks like

$$\begin{array}{ccc} & & R \cdot 1^\vee \\ & & \downarrow -p \\ & R \cdot 1^\vee \xrightarrow{=} & R \cdot a^\vee \\ & \downarrow -p & \\ R \cdot 1^\vee \xrightarrow{=} & R \cdot a^\vee & \\ \downarrow -p & & \\ \cdots & R \cdot a^\vee & \end{array}$$

with all the  $R \cdot 1^\vee$  terms in total degree 0, and all the  $R \cdot a^\vee$  in total degree  $-1$ . In other words, its totalization is the 2-term complex

$$\overbrace{\bigoplus_{i \geq 0} R}^{\text{deg. } 0} \xrightarrow{d} \overbrace{\bigoplus_{i \geq 0} R}^{\text{deg. } -1}$$

where

$$d(x_0, x_1, x_2, \dots) = (x_1 - px_0, x_2 - px_1, x_3 - px_2, \dots).$$

**1.2.6.** There should be some sort of standard telescope-type Lemma that allows us to immediately say that the above complex is the hofiber of

$$R \rightarrow \varinjlim \left\{ R \xrightarrow{p} R \xrightarrow{p} R \xrightarrow{p} R \xrightarrow{p} \dots \right\}$$

Since

$$\mathbb{Q}_{(p)} = \varinjlim \left\{ R \xrightarrow{p} R \xrightarrow{p} R \xrightarrow{p} R \xrightarrow{p} \dots \right\}$$

this will be the hofiber of  $\mathbb{Z}_{(p)} \rightarrow \mathbb{Q}_{(p)}$ , i.e.,  $\mathbb{Q}_{(p)}/\mathbb{Z}_{(p)}[1]$ . So,  $k^\vee \overset{L}{\otimes}_{\mathcal{E}} k \simeq \mathbb{Q}_{(p)}/\mathbb{Z}_{(p)}[1]$  and  $k \overset{L}{\otimes}_{\mathcal{E}} k \simeq \mathbb{Q}_{(p)}/\mathbb{Z}_{(p)}$  as claimed!

**1.2.7.** En lieu of thinking about the above standard Lemma, we can just explicitly write down a quasi-isomorphism. Consider

$$\begin{array}{ccc} \bigoplus_{i \geq 0} R & \xrightarrow{x_0} & \mathbb{Z}_p \\ \downarrow d & & \downarrow \\ \bigoplus_{i \geq 0} R & \xrightarrow{\Phi} & \mathbb{Q}_p \end{array}$$

where  $x_0$  denotes projection to the first component and

$$\Phi(y_0, y_1, \dots) = - \sum_{i \geq 0} \frac{1}{p^{i+1}} y_i$$

is concocted so that the diagram commutes. We will be done if we can show that this is a quasi-isomorphism.

Filter the LHS by declaring  $F_N$  to be the subcomplex where both direct sums are over  $0 \leq i < N$ ; filter the RHS by declaring  $F_N$  to be  $\mathbb{Z}_p \rightarrow 1/p^N \mathbb{Z}_p$ . We verify that  $x_0$  and  $\Phi$  are compatible with filtrations, so it is enough to prove that this is a quasi-isomorphism on each filtered piece. On each filtered piece, writing down matrices verifies that both differentials are injective, have cokernels isomorphic to  $\mathbb{Z}/p^N$ , and the map on cokernels is an isomorphism.

### 1.3. Conceptual computation.

**1.3.1.** The explicit computation of the previous section can of course be made to work in general. Instead, we give a more conceptual reformulation.

**1.3.2.** We may regard  $k$  as an  $R\text{-}\mathcal{E}^{\text{op}}$  bimodule (i.e., a left  $R$ -module and right  $\mathcal{E}$ -module compatibly). It therefore determines an adjoint pair

$$\mathcal{L}: \mathcal{E}^{\text{op}}\text{-mod} \rightleftarrows R\text{-mod}: \mathcal{R}$$

$$\mathcal{L}(\mathcal{F}) = \mathcal{F} \overset{\mathcal{L}}{\otimes}_{\mathcal{E}} k \quad \text{and} \quad \mathcal{R}(M) = \text{RHom}_R(k, M).$$

The functor  $\mathcal{L}$  is essentially characterized by  $\mathcal{L}(\mathcal{E}) = k$  and preserving colimits. The Koszul complex of  $k$  demonstrates that it is compact (“small”), so  $\mathcal{R}$  *also preserves arbitrary colimits*. So understanding  $\mathcal{R}(M)$  (resp.,  $\mathcal{L}(\mathcal{R}(M))$ ) for arbitrary  $M \in R\text{-mod}$  reduces (via colimits) to computing  $\mathcal{R}(R)$  (resp.,  $\mathcal{L}(\mathcal{R}(R))$ ).

Note that

$$\mathcal{L}(\mathcal{R}(R)) = \text{RHom}_R(k, R) \otimes_{\mathcal{E}} k$$

is exactly what we wanted to compute earlier.

**1.3.3.** Let  $i$  be the inclusion of (the underlying topological space of)  $Z = \text{Spec } k = \text{Spec } R/\mathfrak{m}$  into  $\text{Spec } R$ . There is an adjoint pair

$$i_*: R\text{-mod}_Z \rightleftarrows R\text{-mod}: i^!$$

where  $R\text{-mod}_Z$  denotes the category of  $R$ -modules set-theoretically supported on  $Z$ .

**1.3.4.** The “conceptual claim” is that there is an equivalence of categories  $R\text{-mod}_Z \simeq \mathcal{E}^{\text{op}}\text{-mod}$  intertwining these adjunctions. Then,

$$\mathcal{L}(\mathcal{R}(R)) = i_*(i^!(R))$$

Consider the triangles

$$\begin{aligned} R &\rightarrow R[f_1^{-1}] \rightarrow R/(f_1^\infty) \\ R/(f_1^\infty) &\rightarrow R/(f_1^\infty)[f_2^{-1}] \rightarrow R/(f_1^\infty, f_2^\infty) \end{aligned}$$

and so on, until

$$R/(f_1^\infty, \dots, f_{r-1}^\infty) \rightarrow R/(f_1^\infty, \dots, f_{r-1}^\infty)[f_r^{-1}] \rightarrow R/(f_1^\infty, \dots, f_r^\infty)$$

In each case, the middle term is supported off of  $Z$ , so  $i^!$  of it is zero. Also,  $R/(f_1^\infty, \dots, f_r^\infty)$  is supported on  $Z$  so that  $i_* \circ i^!$  of it is itself. Applying  $i_* \circ i^!$  to the triangles we obtain

$$\begin{aligned} i_* i^! R &\rightarrow 0 \rightarrow i_* i^! R/(f_1^\infty) \\ i_* i^! R/(f_1^\infty) &\rightarrow 0 \rightarrow i_* i^! R/(f_1^\infty, f_2^\infty) \end{aligned}$$

and so on, until

$$i_* i^! R/(f_1^\infty, \dots, f_{r-1}^\infty) \rightarrow 0 \rightarrow R/(f_1^\infty, \dots, f_r^\infty)$$

Tracing through, we obtain

$$\boxed{i_* i^! R \simeq R/(f_1^\infty, \dots, f_r^\infty)[r]}$$

**1.3.5.** We mention how to prove the conceptual claim: It suffices to prove that  $\mathcal{R}$  is essentially surjective,  $\mathcal{L}$  is fully faithful, and identify the essential image of  $\mathcal{L}$  with  $R\text{-mod}_Z$ . (Then, taking  $\mathcal{E} \rightarrow k \in R\text{-mod}_Z$  specifies the equivalence. It intertwines  $i_*$  and  $\mathcal{L}$ , and the rest follows.)

Claim: The unit  $\text{id} \rightarrow \mathcal{R} \circ \mathcal{L}$  is an equivalence of functors. Certainly  $\mathcal{R}(\mathcal{L}(\mathcal{E})) = \text{RHom}_R(k, \mathcal{E} \overset{\mathcal{L}}{\otimes} k) = \mathcal{E}$ , and we extend under colimits. This proves that  $\mathcal{R}$  is essentially surjective, and that  $\mathcal{L}$  is faithful.

Claim: If  $M \in R\text{-mod}_Z$ , then the counit  $\mathcal{L}(\mathcal{R}(M)) \rightarrow M$  is an equivalence. Certainly  $\mathcal{L}(\mathcal{R}(k)) = \text{RHom}_R(k, k) \overset{\mathcal{L}}{\otimes} k = \mathcal{E} \overset{\mathcal{L}}{\otimes} k = k$ , and we extend under colimits. This proves that the essential image of  $\mathcal{L}$  contains  $R\text{-mod}_Z$ , and so is equal to it (since  $R\text{-mod}_Z$  is closed under colimits and contains  $k$ ). Since  $\mathcal{R}$  is essentially surjective, this also proves that  $\mathcal{L}$  is full.

**1.3.6.** We didn't actually need the "conceptual claim" to run the "conceptual proof." All we needed was that

- Suppose  $M \in R\text{-mod}$  is such that  $f_i : M \rightarrow M$  is an equivalence for some  $i$ . Then,  $\mathcal{R}(M) = 0$ . This follows directly, using e.g. the Koszul complex.
- Suppose  $M \in R\text{-mod}_Z$ . Then,  $\mathcal{L}(\mathcal{R}(M)) = M$ . This is the argument of the above claim.

Then, applying  $\mathcal{L} \circ \mathcal{R}$  to the sequence of triangles yields the desired claim.